



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

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Washington, D.C. 20231

APPLICATION NUMBER: 07/327,013 FILING DATE: 05/22/92 FIRST NAMED APPLICANT: KOIZUMI J ATTY DOCKET NO./TITLE: 35801/200580

CUSHMAN, DAREY & CUSHMAN
1615 I ST. NW., 11TH FL.
WASHINGTON, DC 20006-5601

DUE ON

0000

DATE MAILED: 06/08/92

NOTICE TO FILE MISSING PARTS OF APPLICATION
FILING DATE GRANTED

A filing date has been granted to this application. However, the following parts are missing.

If all missing parts are filed within the period set below, the total amount owed by applicant as a

☒ large entity, ☐ small entity (verified statement filed), is \$ 820.00.

1. ☒ The statutory basic filing fee is: ☒ missing ☐ insufficient. Applicant as a ☐ large entity
☐ small entity, must submit \$ 690.00 to complete the basic filing fee and MUST ALSO
SUBMIT THE SURCHARGE AS INDICATED BELOW.
2. ☐ Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple
dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional
claims for which fees are due. NO SURCHARGE IS REQUIRED FOR THIS ITEM.
3. ☒ The oath or declaration:
☒ is missing.
☐ does not cover items omitted at time of execution.
An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application
Number and Filing Date is required. A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED
BELOW.
4. ☐ The oath or declaration does not identify the application to which it applies. An oath or declaration in
compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date
is required. A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.
5. ☐ The signature to the oath or declaration is: ☐ missing; ☐ a reproduction; ☐ by a person other than the
inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in
compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date
is required. A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.
6. ☐ The signature of the following joint inventor(s) is missing from the oath or declaration:
_____. An oath or declaration listing the names of all inventors and signed by
the omitted inventor(s), identifying this application by the above Application Number and Receipt Date is
required. A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.
7. ☐ The application was filed in a language other than English. Applicant must file a verified English
translation of the application and a fee of \$30.00 under 37 CFR 1.17(k), unless this fee has already been
paid. NO SURCHARGE IS REQUIRED FOR THIS ITEM.
8. ☐ A \$50.00 processing fee is required for returned checks. (37 CFR 1.21(m)).
9. ☐ Your filing receipt was mailed in error because check was returned without payment.
10. ☐ Other.

An Application Number and Filing Date have been assigned to this application. The missing parts and fees
identified above in items 1 and 3-6 must be timely provided ALONG WITH THE PAYMENT OF A
SURCHARGE of \$140.00 for large entities or \$60.00 for small entities who have filed a verified statement
claiming such status. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given ONE MONTH FROM
THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE of this application,
WHICHEVER IS LATER, within which to file all missing parts and pay any fees required above to avoid
abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee
under the provisions of 37 CFR 1.136(a).

Direct the response to, and any questions about, this notice to ATTENTION: Application Division,
Special Handling Unit.

A copy of this notice MUST be returned with response.

E. J. Williams
For: Manager, Application Division
(703) 557-308-602

S.M.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FILING COMPLETION UNDER RULE 53(d)

(Do NOT use for PCT Applications)

For Design or Utility Applications

Rule 53(d) COMPLETION

Page 1 of 2

Attn: Application Division

In re PATENT APPLICATION of

Inventor(s): KOIZUMI et al

(Our Deposit Account No. 03-3975

(Our Order No. 8604 / 95831

C# / M#

Appln. No.: 0 7 / 887.013

series code ↑ / ↑ serial no.

Filed: May 22, 1992

Atty. Dkt. 95831 / 900580US1

M# / Client Ref.

Title: SOFT VINYL CHLORIDE RESIN COMPOSITION.....

Date: July 17, 1992

Hon. Commissioner of Patents and Trademarks

Washington, D.C. 20231

Sir:

In reply to the Notice to File Missing Parts (copy attached), the following completes the filing under Rule 53(d) of the above-identified patent application:

1. [xx] Signed Declaration per Rule 53(d) attached.
2. [] Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
3. [] The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.
4. [] Specification originally filed in non-English language; hence verified translation attached of:
 - a. [] Abstract
 - b. [] _____ pgs. of Specification (only spec. & claims)
 - c. [] Drawing Figs _____
5. [] Letter filing formal drawing attached.
6. [xx] Attached is an assignment to TOYODA GOSEI CO., LTD.

Please return the recorded assignment to the undersigned.

7. [XX] Priority is claimed under 35 U.S.C. 119/365 based on filing in (country) JAPAN

Application No.	Filing Date	Application No.	Filing Date
(1) 3-149799	MAY 24, 1991	(4) _____	_____
(2) 3-149800	MAY 24, 1991	(5) _____	_____
(3) _____	_____	(6) _____	_____

8. 2 (No.) Certified XXXX/copies [XX] attached; [] previously filed (date) _____

9. in U.S. Application No., 0 / _____, filed _____
series code ↑ ↑ serial no.

10. [] Attached: (No.) Statement(s) establishing "small entity" status under Rules 9 & 27.

100 TW 07/27/92 07887013

1 101

890.00 CK

11. [XX] Attached: Form PTO-1449 listing the enclosed documents;

100 TW 07/27/92 07887013

1 103

130.00 CK

CDC-106 12/91

100 TW 07/27/92 07887013

1 103

120.00 CK